



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: KarlHeinz Arndt, et al. DOCKET NO: P01,0404  
SERIAL NO.: 10/009,656 ART UNIT: 2875  
FILED: March 26, 2002 EXAMINER: Sharon E. Payne  
CONF. NO.: 8441  
TITLE: LED Arrangement

RECEIVED  
SEP-3-2003  
COMMUNICATIONS SECTION

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §1.97(c)

Sir:

In accordance with the provisions of 37 C.F.R. §1.56, Applicant respectfully requests that a citation and examination of the references identified on the attached PTO 1449 form be made during the course of examination of the above-identified application for United States Patent. This Information Disclosure Statement is being filed pursuant to 37 C.F.R. §1.97(c). Pursuant to 37 C.F.R. §1.17(p) enclosed is the fee for submission of an Information Disclosure Statement in the amount of \$180.00. Any deficiency or overpayment should be charged or credited to deposit account number 50-1519. A duplicate copy of this sheet is enclosed.

REMARKS

The following references listed on the PTO 1449 form are enclosed herewith are cited in the specification of the above-referenced application.

EXPLANATION OF RELEVANCE

Reference AA is an English language U.S. patent related to German patent document DE 29603557 previously submitted in this case.

Reference AL is an English language Great Britain patent document related to German patent document DE 4238417 previously submitted in this case.

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10/009,656-1000014 100/9656

Reference AT is an English language abstract of European patent document EP 253244 previously submitted in this case.


Reference AU is an English language abstract of German patent document DE 19528459 previously submitted in this case.

Reference AV is an English language abstract of the article by Matthias Martin, Light Emitting Diodes previously submitted in this case.

Reference AW is an English translation of the article by Moellmer, et. al. Siemens-SMT-TOP-LED-LEDs for Surface Mounting previously submitted in this case.


The above citation of prior art is not a representation that such art constitutes a complete or exhaustive listing of all pertinent prior art, nor that it necessarily includes the closest or most relevant art. The aforementioned citation comprises a voluntary citation of prior art of which applicant and his attorney are presently aware and is not intended to serve as a substitute for the Examiner's own search.

Respectfully submitted,

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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on August 20, 2003.



Form PTO-1449

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INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION  
(use several sheets if necessary)

Docket No.  
P01.0404Serial No.  
10 009.656Applicant  
Karlheinz Arndt, et al.Filing Date  
March 26, 2002Group Art Unit  
2875

## U.S. PATENT DOCUMENTS

Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate
	AA	5,519,596	05/21/1996	Woolverton			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL	2 261 549	05/19/1993	Great Britan				
	AM							
	AN							
	AO							
	AP							
	AQ							
	AR							
	AS							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AT	Abstract of EP 253244
AU	Abstract of DE 19528459
AV	Abstract of Matthias Martin, Light Emitting Diodes, WELTLICHTSCHAU 4/99, p. 278-281
AW	Moellmer, Frank & Waitl, Guenter, Siemens-SMT-TOP-LED-LEDs for Surface Mounting; Siemens Components XXVI (1991) No. 4-5, pp. 147-149.

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.